

09-29-06

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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/800,064

Filing Date

03/12/2004

First Named Inventor

Keith Clark

Art Unit

3722

Examiner Name

Sara Addisu

Attorney Docket Number

7936.002

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation of POA, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	1. Transmittal Form PTO/SB/21 (1 page);	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	2. Fee Transmittal PTO/SB 17 (1 page);	
	3. Interview Summary (3 page); and	
	4. Return Receipt Postcard.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	DUNLAP, CODDING & ROGERS, P.C.		
Signature	<i>Marc Brockhaus</i>		
Printed name	Marc A. Brockhaus		
Date	9-28-2006	Reg. No.	40,923

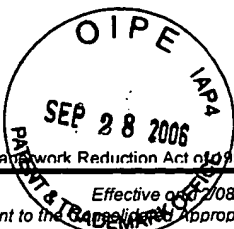
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: *** SENT BY EXPRESS MAIL NO. EV718814441US ***

Signature	<i>Marc Brockhaus</i>		
Typed or printed name	Marc A. Brockhaus	Date	9-28-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective 07/08/2004.
Fees pursuant to the Trademark and Patent Fee Schedule, 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

FEE TRANSMITTAL

For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number	10/800,064
Filing Date	03/12/2004
First Named Inventor	Keith Clark
Examiner Name	Sara Addisu
Art Unit	3722
Attorney Docket No.	7936.002

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

200	100
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Multiple dependent claims

360	180
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Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	0	x	0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	0	x	0

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	0	/ 50 =	(round up to a whole number) x	0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge):

SUBMITTED BY

Signature	Marc Brockhaus	Registration No. (Attorney/Agent)	40,923	Telephone	405-607-8600
Name (Print/Type)	Marc A. Brockhaus	Date	9-28-2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Express Mail No.: EV 718814441 US
Date Mailed: September 28, 2006



Practitioner's Dkt. No.: 7936.002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Keith Clark

Group Art: 3722

Application No.: 10/800,064

Examiner: S. Addisu

Filed: 03/12/2004

For: HOLLOWING SYSTEM

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INTERVIEW SUMMARY

Sir:

In response to the Interview Summary form mailed on August 30, 2006, the Applicant's attorney submits the following:

The interview occurred on August 24, 2006.

The interview was a telephonic interview.

The participants at the interview were Examiner Sara Addisu, Examiner Daniel Howell, the Applicant Keith Clark, and the Applicant's attorney Marc Brockhaus.

No exhibits were shown or discussed.

Claims 1 and 12 were discussed.

The prior art discussed was Kenny (U.S. Patent No. 5,224,529) and the shop-made hollowing system, and Kel McNaughton Hollowing Rig discussed in the non-patent literature of record.

Agreement was not reached on the patentability of any particular claims.

The parties discussed the teachings of Kenny with respect to the features recited in claims 1 and 12. The summary of the discussions set forth by the Examiner in the continuation sheet have been reviewed, and are believed to accurately set forth the subject matter of the discussions with the following clarifications.

In the continuation sheet it is recited "It was also stated that Kenny does not teach a tool rest". Rather, it was stated during the interview that Kenny teaches a lathe having a T-shaped tool rest which is removed and replaced by Kenny's tool rest. Kenny does not teach a tool extending past and supported by a stabilization assembly, and the tool rest. Rather, Kenny teaches a toolrest (as seen in '529 Fig. 14) which comprises of "a hollow mounting shaft 51 [that] is slidable in the direction of arrow Z within the sleeve 49" and a blade mounting shaft 45. (Col. 6, Lns. 56-60).

In the continuation sheet it is stated "Applicants stated that unlike the prior art, the instant invention prevents downward forces from being applied to the tool when the hollowing tool is inserted deep into the work piece." Rather, it was stated during the interview that Applicants' invention prevents downward movement of the tool which is likely to occur as the workpiece is hollowed and the tool is inserted further into the workpiece to hollow out the workpiece.

The foregoing is intended to be a complete summary of the Interview which occurred on August 24, 2006. Should the Examiner have any comments or questions regarding the foregoing, Applicant's attorney is happy to discuss same with the Examiner.

Respectfully submitted,



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